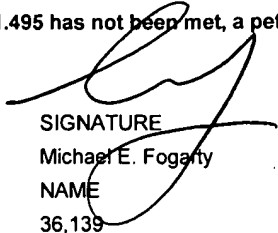


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| FORM PTO-1390<br>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE<br><b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>         DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>         CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |   | ATTORNEY'S DOCKET NUMBER<br><br>061352-0093<br><br>U.S. APPLIC. NO. (if known, see 37 CFR 1.5)<br>Not yet assigned <b>10/528077</b> |
| INTERNATIONAL APPLICATION NO<br>PCT/JP2002/009594  | INTERNATIONAL FILING DATE<br>September 19, 2002 | PRIORITY DATE CLAIMED   |
| TITLE OF INVENTION<br>LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY DEVICE USING THE SAME   |   |   |
| APPLICANTS FOR DO/EO/US<br>Toshihiko URA, Yasushi SAKUDA, Yoshinori YASUDA   |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |   |   |
| Items 11. to 16. below concern other document(s) or information included:  |   |   |
| <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information.           <ol style="list-style-type: none"> <li>1. Front Page of International Published Application</li> <li>2. International Search Report</li> <li>3. PCT/IB/301</li> </ol> </li> </ol>   |   |   |

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|---|--------------|--|------------|---|--------------|
| U.S. APPLIC. NO. (if known, see 37 CFR 1.50)<br>Not yet assigned <b>10/528077</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/JP2002/009594                               |            | ATTORNEY'S DOCKET NUMBER<br>061352-0093 |              |
|   |              |  |            | CALCULATIONS                            | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:   |              |  |            |   |              |
| <input checked="" type="checkbox"/> Basic National Stage Fee \$300  |              |  |            | \$300.00                                |              |
| 22. <input checked="" type="checkbox"/> Examination Fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100<br>All other situations \$200   |              |  |            | \$200.00                                |              |
| 23. <input checked="" type="checkbox"/> Search Fee<br>Search fee (37 CFR 1.445(1)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100<br>International Search Report prepared and provided to the Office \$400<br>All other situations \$500  |              |  |            | \$400.00                                |              |
| TOTAL OF 21, 22 and 23 =  |              |  |            | \$                                      |              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |              |  |            | \$                                      |              |
| Total Sheets  | Extra Sheets | Number of each additional 50 or fraction<br>Thereof (round up to a whole number) | RATE       |   |              |
| 28-100  | 0/50=        | 0  | X\$250     | \$0.00                                  |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |            | \$130.00                                |              |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA   | RATE       |   |              |
| Total Claims  | 6-20 =       | 0  | x \$50.00  | \$0.00                                  |              |
| Independent Claims  | 2-3 =        | 0  | x \$200.00 | \$0.00                                  |              |
| Multiple dependent claim(s) (if applicable)   |              |  | + \$360.00 | \$360.00                                |              |
| TOTAL OF ABOVE CALCULATIONS =   |              |  |            | \$1,390.00                              |              |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |              |  |            | \$                                      |              |
| SUBTOTAL =  |              |  |            | \$                                      |              |
| Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |  |            | +                                       | \$           |
| TOTAL NATIONAL FEE =  |              |  |            | 1,390.00                                |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |  |            | +                                       | \$           |
| TOTAL FEES ENCLOSED =   |              |  |            | \$1,390.00                              |              |
|   |              |  |            | Amount to be refunded                   | \$           |
|   |              |  |            | Amount to be charged                    | \$1,390.00   |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of <u>\$1,390.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u>. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:<br/> Customer Number 20277<br/> Telephone: 202.756.8000<br/> Facsimile: 202.756.8087<br/> March 17, 2005<br/> DATE</p> <p style="text-align: center;"> <br/> SIGNATURE<br/> Michael E. Fogarty<br/> NAME<br/> 36,139<br/> REGISTRATION NUMBER </p> |              |  |            |   |              |

BASED ON FORM PTO-1390 (Rev. 2-2005)